

THE HONORABLE ROBERT S. LASNIK

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

UNITED STATES OF AMERICA,)	No. CR19-159-RSL
)	
Plaintiff,)	
)	MOTION TO COMPEL CAPITAL
v.)	ONE DATA IN THE POSSESSION
)	OF THE GOVERNMENT
PAIGE A. THOMPSON,)	
)	
Defendant.)	Noted: December 31, 2021

Defendant Paige Thompson, through counsel, moves to compel disclosure of Capital One Bank (USA), N.A./Capital One Financial Corp. (“Capital One”) data in the government’s possession pursuant to Federal Rule of Criminal Procedure 16(a)(1)(E).

After extensive discussions between the parties, the government is only willing to let the defense inspect the data at the FBI’s offices in Seattle. This is insufficient because this data is an important part of the government’s case against Ms. Thompson’s at trial. Failure to produce the data now, less than four months before trial significantly prejudices Ms. Thompson’s ability to defend herself at such a trial. The government has complained to the Court about Ms. Thompson not providing expert disclosure to the government when she is under no legal obligation to do so, and yet, has failed to provide Ms. Thompson with the very discovery Rule 16 *requires* the government to produce. (*See* Dkt. No. 125 (Gov’t Mot.).)

1 Any concerns about the security of the data can be addressed by the existing
 2 protective order. The defense is also willing to agree to only house and review the data
 3 the Federal Public Defender's Office in Seattle on a computer in a secure room that is
 4 not connected to the Internet.

5 The defense needs regular and unfettered access to the data. The Court should
 6 order the Capital One data produced forthwith.

7 **I. RELEVANT FACTS¹**

8 On June 17, 2021, the grand jury returned a superseding indictment
 9 ("Indictment"), which included ten counts.² (Dkt. No. 102.) Count 1 identifies eight
 10 alleged victims—Capital One, (Victim 1), an "agency of a state" (Victim 2), an
 11 unidentified foreign "telecommunications conglomerate" (Victim 3), an unidentified
 12 public research university (Victim 4), an unidentified "technology company that
 13 specializes in digital rights management" (Victim 5), an unidentified "technology
 14 company that provides data and threat protection services" (Victim 6), an unidentified
 15 "technology company that provides interaction-management solutions" (Victim 7), and
 16 an unidentified "technology company that provides higher education learning
 17 technology" (Victim 8). (*Id.* at 2-3.) As reflected in the Indictment, the government's
 18 entire theory of the case relies on data produced by Capital One to the government; the
 19 data provided by Capital One to the government is therefore part and parcel of the
 20 government's case-in-chief and material to Ms. Thompson's defense. (*Id.* at 1-6.)

21 In preparing for trial and realizing that significant data was missing from the
 22 government's productions, defense counsel met-and-conferred with the government
 23 beginning on or about July 1, 2021, to remedy this situation. (8/11/2021 Ltr. from
 24 USAO to B. Klein *et al.*, attached as Ex. 1.) Notably, *all* of the data the government
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26 ¹ Pursuant to Local Rule 16, counsel certifies that he has met and conferred with the government.

² The original indictment was filed on August 28, 2019 and included two counts charging wire fraud (Count 1) and violation of the Computer Fraud and Abuse Act (Count 2). (Dkt. No. 33.)

1 alleges Ms. Thompson stole from the various victims outlined in the Indictment was
2 missing from the government's productions. (12/7/2021 Ltr. from USAO to B. Klein *et*
3 *al.*, attached as Ex. 2.) As of December 7, 2021, the government agreed to produce
4 victim data from every victim identified in the Indictment *except* for Capital One. (*Id.*
5 at 2.) Notably, the government's refusal is based on Capital One's alleged concern that
6 the data taken from its servers contains "personal identifying information" or PII. (*Id.*).
7 The government claims that "creating an additional copy of PII . . . creates an excessive
8 and unnecessary risk that data may be further disseminated," though it does not specify
9 how such further dissemination would occur, and demands that the defense view the
10 data "at the Federal Bureau of Investigation." *Id.*

11 The government regularly produces PII the Federal Public Defender's office
12 under a protective order. To date, the defense has agreed to two restrictive protective
13 orders to receive information. (*See* Dkt. No. 66 [Gov't Protective Order] and Dkt. No.
14 87 [Capital One Protective Order].) In the more than two years those protective orders
15 have been in effect, the orders have not been violated and no further dissemination of
16 sensitive data has occurred. Further, in order to allay Capital One's concerns, the
17 defense has offered to place the data on a computer at the Federal Public Defender's
18 ("FPD's") office in Seattle that is not connected to the Internet and located in a secure
19 office on a server that has multiple layers of protection and meets industry standards for
20 hosting PII. As the Court knows, the FPD, as a matter of course, receives and securely
21 stores data on its servers on a variety of cases that involve much more sensitive data
22 than the Capital One data involved in Ms. Thompson's case.

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II. LEGAL ANALYSIS

The defense should have regular and unfettered access to the Capital One data. The government's position does not permit that, and significantly prejudices the defense's ability to prepare for trial.

Rule 16(a)(1)(E) requires that, upon a defendant's request, "the government must permit the defendant to inspect *and to copy*" "papers, documents, data, photographs, tangible objects, or copies or portions of any of these items, if the item is within the government's possession, custody, or control" and the item is "material to preparing the defense" or "the government intends to use the item in its case-in-chief at trial." Fed. R. Crim. P. 16(a)(1)(E) (emphasis added). Notably, Rule 16 not only requires inspection by the defense, but the ability to copy the data as well. *See id.* PII is regularly produced to defense counsel pursuant to the government's Rule 16 discovery obligations.

As the government has identified that the requested materials are in the possession of the FBI, the Capital One data is clearly within the government's possession, custody, and/or control. Further, as the Indictment makes patently clear, the government intends to use the Capital One data in its case-in-chief at trial. (*See* Dkt. No. 102 at 3 ["The object was to use the stolen credentials to access and copy other data stored by the customers on Cloud Computing servers, including data containing valuable personal identifying information."].) Thus, pursuant to Rule 16, the government must either produce the data to the defense or permit the defense to both inspect and copy it.

In addition to its production being required because the government intends to use the Capital One data in its case in chief, the material must be disclosed to the defense because it is "material" to preparing her defense. Fed. R. Crim. P. 16(a)(1)(E). "Materiality is a low threshold . . . [i]nformation is material even if it simply causes a

1 defendant to completely abandon a planned defense and take an entirely different path.”
2 *United States v. Hernandez-Meza*, 720 F.3d 760, 768 (9th Cir. 2013). “The test is not
3 whether the discovery is admissible at trial, but whether the discovery *may* assist [Ms.
4 Thompson] in formulating a defense, including leading to admissible defense.” *United*
5 *States v. Soto-Zuniga*, 837 F.3d 992, 1003 (9th Cir. 2012) (emphasis added). As clearly
6 outlined in Ms. Thompson’s multiple pre-trial motions challenging the sufficiency of
7 the indictment, the Capital One data is clearly material to preparing Ms. Thompson’s
8 defense in that it may assist her in abandoning certain defenses or adopting others. (*See*
9 Dkt. Nos. 122-124.)

10 The government’s suggestion that Ms. Thompson’s defense team only be
11 permitted to access the Capital One data at the FBI is unworkable. Ms. Thompson’s
12 legal team needs continuous, uninterrupted, and confidential access to the data that is
13 not logged by the FBI and shared with government attorneys. The FBI’s log of who
14 visits and when, and is not open for visits at all hours of the day and on weekends.
15 Regarding the latter, the defense needs to access the Capital One data at times
16 convenient to the defense team considering their own work and personal schedules. As
17 the case gets closer to trial, the defense will need to access the data repeatedly and
18 potentially late into the night. The government’s suggestion to have the data housed at
19 the FBI may impermissibly invade Ms. Thompson’s defense strategy, and is logistically
20 unfeasible.

21 Conversely, the defense’s proposal to house the data on a secure computer
22 disconnected from the Internet exceeds the requirements of Rule 16, is feasible, and
23 should more than allay Capital One’s concerns regarding further dissemination of PII.
24 Indeed, in general, the only requirement for the disclosure of PII to the defense in cases
25 where there are victims, and Victim Witness Act concerns, is a protective order. *See*
26 *United States v. Herron*, No. 2:18-CR-00058-JAM, 2018 WL 6729953, at *2 (E.D. Cal.

1 Sept. 13, 2018 (noting that a protective order prevents “unauthorized dissemination,
 2 distribution, or use of the materials containing the PII . . . to ensure that victims . . . are
 3 afforded their statutory right to dignity and privacy, and to protect against further . . .
 4 harm”) (citing 18 U.S.C. §§ 3771(a)(8), (b)(1), and (c)(1)).

5 Here, the defense has entered into not one, but two separate protective orders and
 6 the government has shown no violation of such orders in more than two years of this
 7 case progressing toward trial. The protective orders are enough to cover Capital One’s
 8 alleged victim witness concerns, but to try to meet in the middle, the defense has
 9 offered additional protections to the government and Capital One for the data that
 10 exceed the requirements of Rule 16. The Court should thus compel the production of
 11 this data to the defense immediately; the government should not be able to withhold
 12 relevant and necessary evidence from Ms. Thompson any longer based on unfounded
 13 privacy concerns that the defense has made every effort to address.

14 **III. CONCLUSION**

15 For all of the above-stated reasons, Ms. Thompson requests that the Court order
 16 the government to disclose the Capital One data to the defense immediately and the
 17 additional security measures offered by the defense to the government and Capital One.
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19 DATED this 17th day of December, 2021.

20 Respectfully submitted,

21 */s/ Mohammad Ali Hamoudi*
 22 MOHAMMAD ALI HAMOUDI

23 */s/ Christopher Sanders*
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